Integrated Impact Assessment Screening Form

Appendix 4

Please ensure that you refer to the Screening Form Guidance while completing this form.

Which service area and directorate are you from?

Service Area: Internal Audit Directorate: Resources

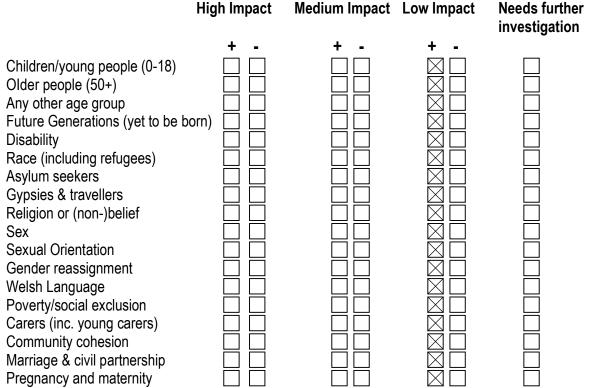
Q1 (a)	What are you screening for relevance?
	New and revised policies, practices or procedures Service review, re-organisation or service changes/reductions, which affect the wider community, service users and/or staff
	Efficiency or saving proposals
	Setting budget allocations for new financial year and strategic financial planning
	New project proposals affecting staff, communities or accessibility to the built environment, e.g., new construction work or adaptations to existing buildings, moving to on-line services, changing location
	Large Scale Public Events
	Local implementation of National Strategy/Plans/Legislation
	Strategic directive and intent, including those developed at Regional Partnership Boards and Public Services Board, which impact on a public bodies functions
	Medium to long term plans (for example, corporate plans, development plans, service delivery and improvement plans)
	Setting objectives (for example, well-being objectives, equality objectives, Welsh language strategy)
	Major procurement and commissioning decisions
	Decisions that affect the ability (including external partners) to offer Welsh language opportunities and services

(b) Please name and fully <u>describe</u> initiative here:

Quarterly report to the Governance and Audit Committee outlining the findings and work undertaken by the Audit Team in the period.

Q2 What is the potential impact on the following: the impacts below could be positive (+) or negative (-)

n/a - no impact



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Q3	What involvement has taken place/will you undertake e.g. engagement/consultation/co-productive approaches? Please provide details below – either of your activities or your reasons for not undertaking involvement				
	Consultation undertaken with the Director of Finance & S151 Officer, Legal, Access to Services, the Corporate Management Team and Heads of Service.				
Q4	Have you considered the Well-being of Future Generations Act (Wales) 2015 in the development of this initiative:				
a)	Overall does the initiative support our Corporate Plan's Well-being Objectives when considered together? Yes X No				
b)	Does the initiative consider maximising contribution to each of the seven national well-being goals? Yes X No				
c)	Does the initiative apply each of the five ways of working? Yes 🖂 No 🗌				
d)	Does the initiative meet the needs of the present without compromising the ability of future generations to meet their own needs? Yes X No X				
Q5	What is the potential risk of the initiative? (Consider the following impacts – equalit socio-economic, environmental, cultural, legal, financial, political, media, public perception etc)				
	High risk	Medium risk	Low risk		
Q6	Will this initiative	have an impact (howeve	r minor) on any other Council service?		
Yes If yes, please provide details below					
be sub improv	oject to internal audi /e compliance with (t reviews which may result	anned programme of work for 2021/22 will in recommendations being made to dures and consequentially may result in s if required.		

Q7 What is the cumulative impact of this proposal on people and/or communities when considering all the impacts identified within the screening and any other key decisions affecting similar groups/ service users made by the organisation?

(You may need to discuss this with your Service Head or Cabinet Member to consider more widely if this proposal will affect certain groups/ communities more adversely because of other decisions the organisation is making. For example, financial impact/poverty, withdrawal of multiple services and whether this is disadvantaging the same groups, e.g., disabled people, older people, single parents (who are mainly women), etc.)

To update committee on the work undertaken by Internal Audit in the period.

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Outcome of Screening

Q8 Please describe the outcome of your screening below:

The completion of the Integrated Impact Assessment Screening revealed that:

- The Quarterly Internal Audit Monitoring Report has a potentially low positive impact across a number of identified groups.
- It has been subject to consultation with the Director of Finance & S151 Officer, Legal and Access to Services.
- All WFG considerations are positive and any risks identified are low.
- The overall impact of the Quarterly Internal Audit Monitoring Report is positive as it will support the Authority in its requirement to protect public funds.

(NB: This summary paragraph should be used in the relevant section of corporate report)

Full IIA to be completed

Do not complete IIA – please ensure you have provided the relevant information above to support this outcome

NB: Please email this completed form to the Access to Services Team for agreement before obtaining approval from your Head of Service. Head of Service approval is only required via email.

Screening completed by:		
Name: Simon Cockings		
Job title: Chief Auditor		
Date: 07/04/21		
Approval by Head of Service:		
Name: Ben Smith		
Position: Director of Finance & S151 Officer		
Date: 12/04/21 (e-mail)		

Please return the completed form to accesstoservices@swansea.gov.uk